# Why Are There No Giants? How to Quantify Application Scalability

#### Dr. Neil J. Gunther

Performance Dynamics

#### NorCal ORACLE Users Group (NoCOUG) Winter Conference, Feb 11, 2010 Keynote



#### Outline

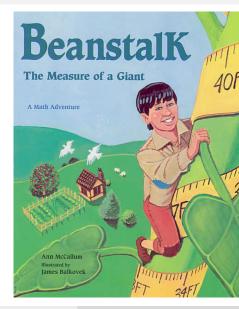
## Scaling vs. Scalability

2) Components of Scalability

- 3 Example Scalability Analysis
- 4 Applications of the USL

# Jack and the Beanstalk

- Jack climbs a magic beanstalk up into the clouds (10,000 ft?)
- Guarded by a giant who is 10 times bigger than Jack
- *"Fee-fie-foe-fum!"* and all that



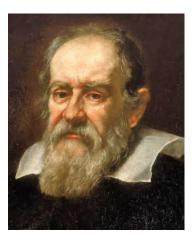
Why Are There No Giants?

# Where Are All the Giants?

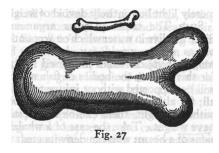
- Can giants exist?
  - Can 10,000' beanstalk exist?
- Guinness world record
  - Robert P. Wadlow (USA)
  - Height: 8'11" (2.72 m)
- Jack
  - Height: 1.8 m tall (L)
  - Weight: 90 kg
- Giant (10x bigger)
  - Height: 18 m tall  $(10 \times L)$
  - $L^3 \times 90 \text{ kg} = 10^3 \times 90 \text{ kg}$
  - Weight: 90,000 kg
  - A bone-crushing 100 tons!



# Galileo's Observation of 1638



On Being the Right Size, J.B.S. Haldane, 1928



- Double all dimensions
- Cross section:  $4 \times \equiv 2^2$
- But weight:  $8 \times \equiv 2^3$

## Scaling vs. Scalability



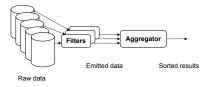
#### Natural scaling

- Inherent critical physical limits
- When the load (volume) exceeds the material strength (area), things break
- Load  $\sim L^3$  (volume), but strength  $\sim L^2$  (cross-section area)
- Computer scalability
  - No critical limit
  - Point of diminishing returns
  - Scalability is about sustainable size

Why Are There No Giants?

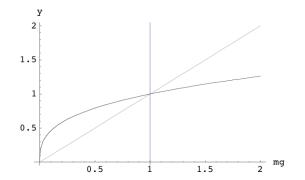
# Scalability is Not a Number

- Google paper of 2005: "Parallel Analysis with Sawzall,"
  - "If scaling were perfect, performance would be proportional to the number of machines, that is, adding one machine would contribute one machine's worth of throughput. In our test, the effect is to contribute 0.98 machines."
  - Translation: scalability is 98% of ideal linear



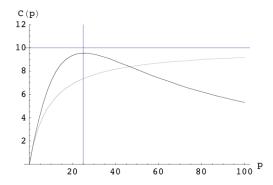
- Scalability is a *function*, not a number
  - Diminishing returns (due to increasing overhead) appears as a fall away from linearity
  - Want to express these losses as a quantitative function

# Scaling Characteristics of a Natural System



Weight is linear ( $y \propto m$ ) in mass (*m*), strength is curved ( $y \propto m^{2/3}$ ) Giant's leg bone or beanstalk stem collapses where curves cross

# Scaling Characteristics of a Computer System



Critical point is maximum in throughput curve Beyond max performance degradation or retrograde scalability Can be either hardware scaling or application scaling

# Quantifying Web 2.0 Scalability Fails

#### **\$Version**

7.0 for Mac OS X x86 (64-bit) (November 11, 2008)

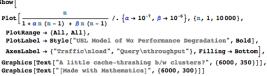
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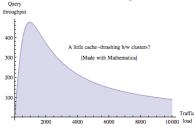
#### Show

Twitter.com

- Amazon EC2
- Cuil.com
- Apple iStore 2008
- Google Gmail
- WolframAlpha



USL Model of Wa Performance Degradation



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#### Outline

#### Scaling vs. Scalability

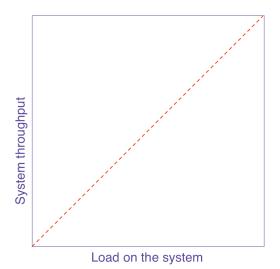
### 2 Components of Scalability

3 Example Scalability Analysis

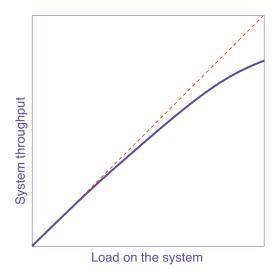
#### 4 Applications of the USL

Components of Scalability

# Equal Bang for the Buck (Concurrency)

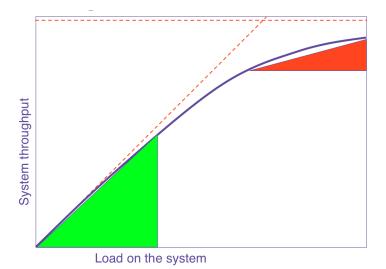


# Cost of Sharing Resources (Contention)

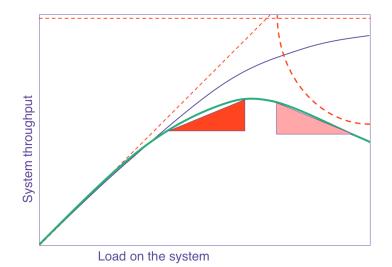


Components of Scalability

## **Diminishing Returns (Saturation)**

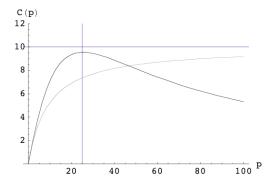


# Negative ROI (Coherency Delays)



## The Big Picture

#### Pulling all the pieces together



Would like to be able to compute this kind of scalability curve

• *N* users or processes

- *N* users or processes
- C capacity function of N

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- C capacity function of N

$$C(N,\alpha,\beta) = \frac{N}{1 + \alpha(N-1) + \beta N(N-1)}$$

- N users or processes
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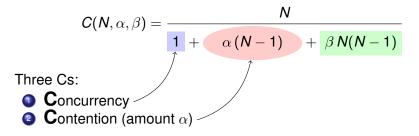
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Three Cs:

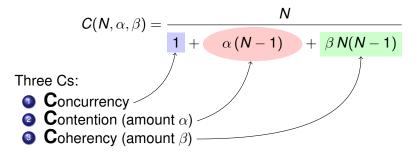
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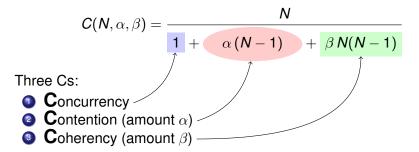
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#### Theorem (Universality)

Only need 2 parameters  $(\alpha, \beta)$  to produce a maximum or critical point in C(N) function.

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# ORACLE Example

Consider the following example:

- Many ORACLE processes running on a symmetric multiprocessor (SMP)
- ORACLE OLTP application (shared writable data)
- An ORACLE process requests to update data in the row of a table
- Must wait for RDBMS lock
- Finally, process gets the ORACLE lock (permission to write)
- ORACLE process is executing but ...
- It still cannot complete the write

Question: Why not?

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Hint: Multiple processors means multiple local (L2) caches

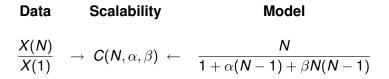
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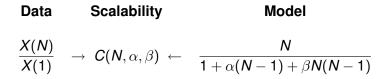
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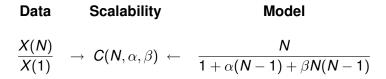
**Question:** Why not?

**Hint:** Multiple processors means multiple local  $(L_2)$  caches **Answer:** If local cache is stale, must wait for consistent data



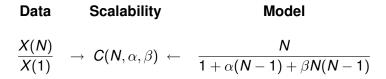


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- 2 USL  $\equiv$  sync repairman doing exchange sort (Gunther 2008)

# Why Should You Care?

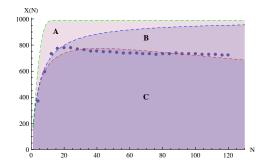
- Old reason: Concurrent programming is hard on SMPs
- New reason: Multicores are SMPs on a chip (it's back baby!)

#### Werner Vogels, Amazon.com CTO

"Scalability is hard because it cannot be an after-thought. Good scalability is possible, but only if we architect and engineer our systems to take scalability into account."

# **USL Scalability Zones**

#### Think zones rather than curves



- A-synchronous messaging (average queue lengths)
- Synchronous messaging (worst queue lengths)
- Synchronous messaging + exchange sorting

## Data Are Not Divine

#### Data come from the Devil



#### Models come from the God



Data needs to be put in prison (a model) and made to confess the truth

Theorem

 $Data + Models \equiv Insight$ 

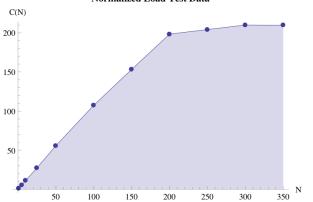
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## **Example Measurements**

#### J2EE web application



Normalized Load Test Data

Throughput measurements using Apache Jmeter Monotonically increasing, looks fine, but ...

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## Bad Data in Prison

Excel table of various USL quantities.

D	E C(N)	F	G N/C	H (N/C)-1
N		C/N		
1	1.00	1.00	1.00	0.00
5	5.67	1.13	0.88	-0.13
10	11.33	1.13	0.88	-0.12
25	27.50	1.10	0.91	-0.09
50	55.83	1.12	0.90	-0.10
100	107.50	1.08	0.93	-0.07
150	153.33	1.02	0.98	-0.02
200	198.33	0.99	1.01	0.01
250	204.17	0.82	1.22	0.22
300	210.00	0.70	1.43	0.43
350	209.67	0.60	1.67	0.6

Column *F* shows scaling efficiency: C/N. Between N = 5 and 150 vusers, efficiencies > 1.0. Can't have more than 100% of anything. Please explain?

#### Data + Model == Insight!

Just attempting to set up the USL model in Excel, shows measurement data (not the model) are wrong. Without it, ignorance is bliss.

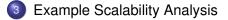
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2) Components of Scalability

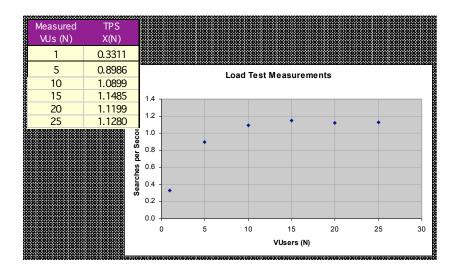




# Oracle Based CRM

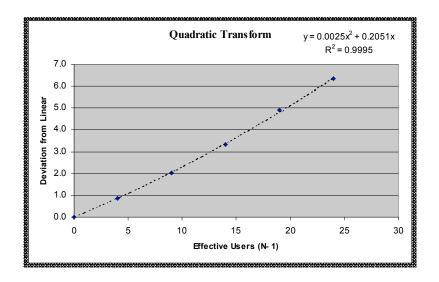
- CRM application
  - Real user login/authentification
  - Real user searches for customer parameters
- Transaction definition
  - Login (1-shot) incorporated in Init portion of LR script
  - Iterate on specific searches being evaluated
  - Mean TPS calculated as Action\_Tx / Duration\_Seconds
- Each VUser load must reach steady state
  - 10-15 mins. is common runtime per VU load
  - Use Rendezvous Start/Stop VUsers @ 15 mins
  - Use Goal mode rather than Scenario mode

#### LoadRunner Measurements



#### Why Are There No Giants?

## **Regression Analysis in Excel**



#### Why Are There No Giants?

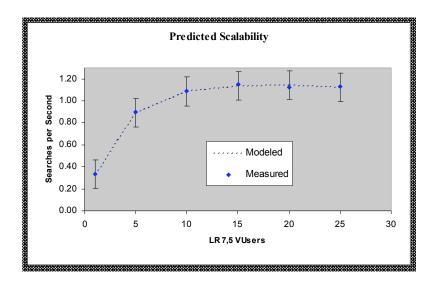
## **Excel Parameter Mappings**

P				
2	Trendline	Parameters	Super	Serial
200	Quadratic	Coefficients	Parameter	Values
	а	2.50E-03	α	0.2026
	b	0.2051	β	0.0123
	С	0.0000	Nmax	19
			Nopt	5

#### **Predicted Scalability**

		Predicted	Capacity	HERENEHERRENEHERRE
	VUs	C(N)	Modeled	Measured
Handaho	1	1.00	0.3311	0.3311
	5	2.69	0.8899	0.8986
	10	3.28	1.0861	1.0899
	15	3.44	1.1387	1.1485
	20	3.45	1.1418	1.1199
	25	3.40	1.1243	1.1280

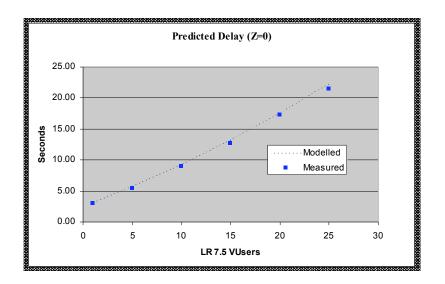
## Measured and Predicted Throughput



### **Response Time Measurements**

VU	Predicted Modelled	Delay Measured	Percent Error
1	3.02	2.96	2.03
5	5.62	5.44	3.29
10	9.21	8.94	3.00
15	13.17	12.69	3.80
20	17.52	17.23	1.66
25	22.24	21.44	3.71

## Predicted Application Latency



# **Performance Analysis**

- Accuracy:
  - Error < 2% on throughput (v. good)
  - Error < 4% on latency (excellent!)
- Contention (α): 0.2026 (21%)
  - Extremely high (ORACLE 2.5% to 3%)
  - ODBC calls need serious revision!
- Coherency ( $\beta$ ): 0.0123 (Unbounded. Not
  - Relatively high
  - Database cache misses?
- Critical Loads:
  - Nmax: 19 is severely bottlenecked
  - Nopt: 5 users is untenable in production

Not ready for prime time

#### Outline

#### Scaling vs. Scalability

2) Components of Scalability

3 Example Scalability Analysis

#### Applications of the USL

# When to Apply the USL

- Multiprocessing architectures (SMPs)
- Threaded applications
- Distributed caching instances
- Multicores are the new SMPs
- RAC-based architectures
- Multi-tier applications (Weblogic, Oracle)
- Any concurrent programming

## Where is Your Application?

Class A	Class B
Ideal concurrency ( $\alpha, \beta = 0$ )	Contention-only ( $\alpha > 0, \beta = 0$ )
Shared-nothing platform	Message-based queueing (e.g., MQSeries)
Google text search	Message Passing Interface (MPI) applications
Lexus–Nexus search	Transaction monitors (e.g., Tuxedo)
Read-only queries	Polling service (e.g., VMWare)
	Peer-to-peer (e.g., Skype)
Class C	Class D
Incoherent-only ( $\alpha = 0, \beta > 0$ )	Worst case ( $\alpha, \beta > 0$ )
Scientific HPC computations	Anything with shared writes
Online analytic processing (OLAP)	Hotel reservation system
Data mining	Banking online transaction processing (OLTP)
Decision support software (DSS),	Java database connectivity (JDBC)

#### Summary

- Giants don't scale. Critical point.
- Applications don't scale linearly, in general.
- Scalability is about sustainable size.
- Data are not divine. All measurement has errors.
- USL provides a framework in which to assess validity of your data.
- Classify application scalability.
- Performance tuning should focus on USL Zones.

#### Applications of the USL



## **Contact Coordinates**



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